

# Report

## Recommendations from the Health and Social Care Grants Review Programme 2019

### Edinburgh Integration Joint Board

14<sup>th</sup> December 2018

#### Executive Summary

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1. The purpose of this report is to advise the Board of the recommendations from the Health and Social Care Grant Programme 2019/20 to 2021/22.
2. Any member wishing additional information on the detail of this paper should contact the author in advance of the meeting.

#### Recommendations

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3. The Integration Joint Board is asked to:
  - a. agree to incorporate the funding associated with the health improvement fund (HIF) and advice into the Edinburgh IJB grant programme;
  - b. agree the recommended grant allocations;
  - c. delegate responsibility to the Chief Officer to issue grants in line with these recommendations subject to further financial assurance checks;
  - d. delegate authority to the Chief Officer to institute the process for the Innovation Fund and to issue grants in line with the recommendations of the Grants Review Steering Group;
  - e. establish a collaborative forum to engage with 3<sup>rd</sup> sector to jointly develop a programme of community led support.

#### Background

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4. In November 2017, the Board agreed the scope, methodology and timescale for the review of health and social care grant programmes, based upon recommendations from the Strategic Planning Group. Following this, the grants review steering group (the steering group) was established and it has been meeting regularly since December 2017. Both the Board and the Strategic Planning Group



have received regular updates on progress, including details of the engagement with the third sector.

5. At its meeting on 10<sup>th</sup> August 2018, the IJB agreed the grants prospectus and associated process for the programme. Accordingly the programme opened to applications on 20<sup>th</sup> August and closed on 1<sup>st</sup> October.

## Main report

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### Applications

6. A total of 152 applications, requesting funding of £31m over 3 years, were received by the deadline of 1<sup>st</sup> October. On the basis of fairness and equity across the sector, applications received after this date were not carried forward to the assessment process.
7. The available budget over 3 years is £14.1m so the programme was significantly oversubscribed.
8. At its meeting in August the IJB agreed 3 separate funds:
  - a. **Small grants** – for individual projects under £25k p.a. to allow smaller locally based organisations to bid for funding. 29 applications, valued at £1.6m (over 3 years) were received;
  - b. **Large grants** – for applications over £25k p.a. each. 123 applications, valued at £29.6m (over 3 years) were received; and
  - c. **Innovation fund** – a total of £100k p.a. to support creative and original ideas which may have less of a track record. To allow time to fully develop this new approach a sub group was established, under the leadership of Stephanie-Anne Harris, the Strategic Development Manager of the Edinburgh Community Health Forum. This sub group reaffirmed that the outcomes and seven funding priorities of the innovation fund will mirror those of the main programme and this will be reflected in the guidance notes that will be developed prior to the fund's formal launch in early February 2019. The approach will be finalised by the end of January 2019 and will be commensurate with the amount of funding available. In order for these grants to be in place for 1<sup>st</sup> April 2019 (in line with the main programme). It is recommended that the IJB delegate authority to the Chief Officer to run the process and award grants as recommended by the Grants Review Steering Group.

### The available budget

9. When the programme was agreed by the IJB in November 2017, the agreed budget was £4.041m p.a or £12.1m over 3 years. As the programme has developed it has become apparent that there are 2 further potential sources of funding which could be incorporated in the overall programme:

- a. *Health Improvement Fund (HIF)* – has historically been used to fund a number of projects to address: early years support and early interventions for children; and young people and social capital and community capacity building. The element of the fund associated with adults is delegated to the IJB, the priorities of the fund are in line with those of the IJB grants review, a number of projects are jointly funded from HIF and the Partnership grants and the existing grants elapse in the same timeframe as the Partnership grants. For these reasons, taking forward a separate process for HIF would potentially lead to duplication as well as adding to the administrative burden for both the statutory and 3<sup>rd</sup> sectors. On this basis, it is recommended that the delegated element of the money be amalgamated into the budget for the IJB grant programme. The funding involved is £0.355m p.a. or £1.1m over 3 years; and
  - b. *Advice services* – the Safer and Stronger Communities (SSC) department of the Council is currently conducting a review of the internally provided, externally contracted and grant funded advice services they provide. At the same time, the IJB has identified advice and income maximisation as one of the 7 priorities for its grants programme. Taking forward these 2 exercises separately could result in either duplication or gaps in service provision across the city. Conversely, progressing in tandem, offers the opportunity to establish services which will meet the priority needs of the city. In support of this, SSC is proposing to supplement the IJB grant programme and has identified a budget of £0.4m p.a. (£1.2m over 3 years).
10. These 2 additional sources of funding would increase the IJB grant budget by £0.755m, i.e. a total sum of £14.090m to be allocated over a 3 year period. It is on this basis that the recommendations have been prepared.

### **The assessment process**

11. In line with the process set out in the prospectus and agreed by the IJB, grants were assessed according to a 3 phased approach:

#### **a. Assessment**

All applications were “scored” by a 3 person panel in line with the criteria set out in the prospectus. The maximum score available was 400. Where possible, the panels were chaired by a member of the steering group and, where this was not the case, by someone with experience of a similar grants process. 2 panels considered the small grants and the remaining 10 assessed the large grants.

#### **b. Consensus**

The assessment panel chairs considered the scores awarded by the different panels to ensure consistency. As a result of this process a ranked collated list of eligible applications was produced.

#### **c. Moderation**

The final stage was to ensure the allocation of funds best aligns with the IJB's strategy, both across the city and within localities. This approach ensured that the proposed allocation of funds across priorities and geographically aligns with the Joint Board strategy. This part of the process was led by an independent chair, Angela Morgan, OBE. Through the moderation process a minimum "quality threshold" was also agreed.

### **The recommendations**

12. The recommendations from the Steering Group are based on the approach outlined above. They represent the best pattern of help and support for the most vulnerable citizens within a) the constraints of the funding available and b) the range of applications of satisfactory quality received and are set out in appendix 1 with the members of the steering group included as appendix 2.
13. When considering the applications in respect of welfare and debt advice (income maximisation) it was noted that there was significant duplication and overlap in the best scoring applications. To support the decision making process the panel sought some "expert advice" from the SSC team. Following a lengthy consultation process the recommendation in respect of advice is to set a sum of £2.5m (for 3 years) aside and invite the organisations who scored above the quality threshold to work alongside officers from the Partnership, the Council and NHS Lothian to co produce a city wide service which best meets the needs of the citizens of Edinburgh.
14. This would result in 19 small and 47 large grants being offered, and a fund of £2.5m being established to co produce a city wide advice service. Taken together this represents a cost of £14.2m over the 3 year period, £0.1m in excess of the budget outlined above. This is equivalent to c£0.03m p.a. and it is recommended that it is a first call on the monies for community led support discussed further in paragraph 15.
15. Finally, it should be noted that an initial assessment of financial probity has been carried out and any grants issued will be subject to further checks.

### **Next steps**

16. The 2019 IJB grants review has been conducted in the spirit of partnership with the 3<sup>rd</sup> sector, recognising the contribution these organisations make to the city of Edinburgh. Ongoing and positive collaboration forms a key plank of the IJB's strategy. Equally, the emerging transformation programme requires a strong and vibrant 3<sup>rd</sup> sector to help people to live independent lives. In recognition of this the IJB has set aside £2m in its financial plan to fund "community led support". It is recommended that a forum is established to begin a co production exercise which incorporates this and considers alternative ways to develop "commissioner/provider" relationships.

## Key risks

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17. Throughout this process 3 high risks have been identified and reported to the IJB:
  - the sustainability of 3rd sector organisations and the consequence of any disinvestment on services;
  - a failure to adhere to the process as set out; and
  - the impact that the volume of applications may have on the timescales.
18. As the process is now at the recommendation stage the first risk outlined above becomes key. An analysis of current grant recipients shows that 35 current grant holders who collectively receive grants totalling £1.9m p.a. have not been recommended for renewal. The associated integrated impact assessment (IIA) is attached to this report as appendix 3.

## Financial implications

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19. This report details the progress in delivering the review of the existing health and social care grant programmes. However, there are no direct financial implications arising from the report.

## Implications for directions

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20. Agreement of the prospectus in August required a direction to the City of Edinburgh Council to run a Health and Social Care grants programme in accordance with the prospectus.

## Equalities implications

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21. An initial integrated impact assessment was undertaken in respect of the grants review, which identified both equality and sustainability implications. A follow up IIA, based on the recommendations of the review, is attached at appendix 3.

## Sustainability implications

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22. As above.

## Involving people

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23. The priorities within our strategic plan and the outcomes in the Locality Improvement Plans have already been the subject of public consultation. The

priorities within the outline strategic commissioning plans will form the basis of the 2019 strategic plan and be subject to public consultation in 2019.

24. Grant applications included details of the engagement undertaken with citizens as part of the evidence that there is a need for the service/project.

## Impact on plans of other parties

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25. As above.

## Background reading/references

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Grants review – report to the EIJB in August 2018

Grants review interim report – report to the EIJB in May 2018

Grants review, scope, methodology and timescales – report to the EIJB November 2017

Review of grant programmes – report to the EIJB September 2017

## Report author

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## Appendices

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|                   |   |
|-------------------|---|
| <b>Appendix 1</b> | 2019 Edinburgh IJB grant programme – recommendations              |
| <b>Appendix 2</b> | 2019 Edinburgh IJB grant programme – steering group membership    |
| <b>Appendix 3</b> | 2019 Edinburgh IJB grant programme – integrated impact assessment |

## 2019 Edinburgh IJB grant programme – recommendations

| Organisation  | Project   | 2019/20<br>£ | 2020/21<br>£ | 2020/22<br>£ | Total<br>£ |
|---|---|--------------|--------------|--------------|------------|
| ACE IT  | ACE IT digital inclusion for older people                               | 62,225       | 62,225       | 62,225       | 186,675    |
| Art in Healthcare                                   | room for art  | 50,221       | 67,243       | 69,999       | 187,463    |
| Autism Initiatives                                  | Diagnosis and support for autistic adults without a learning disability | 93,626       | 82,626       | 82,626       | 258,878    |
| Bethany Christian Trust                             | Passing the Baton Project   | 49,314       | 50,684       | 51,817       | 151,815    |
| Brigdgend Farmhouse                                 | Community Kitchen   | 24,978       | 24,978       | 24,978       | 74,934     |
| Calton Welfare Services                             | Welfare Services for Socially Isolated Older People                     | 16,000       | 16,183       | 16,381       | 48,564     |
| Care for Carers                                     | Stepping Out Residential and Short Breaks for Carers                    | 71,535       | 71,886       | 72,240       | 215,661    |
| Caring in Craigmillar                               | Phonelink   | 76,919       | 88,481       | 97,001       | 262,401    |
| Changeworks   | Heat Heroes   | 53,188       | 54,736       | 56,300       | 164,224    |
| Community One Stop Shop                             | COSS  | 23,000       | 23,000       | 23,000       | 69,000     |
| Community Renewal Trust                             | Health Case Management (HCM)  | 49,063       | 49,063       | 49,063       | 147,189    |
| Cruse Bereavement Care Scotland                     | Edinburgh Bereavement Services  | 34,000       | 34,000       | 34,000       | 102,000    |
| Currie Day Centre                                   | Day Centre for Older People   | 12,880       | 13,960       | 13,980       | 40,820     |
| Cyrenians-Golden Years Community Connecting Service | Golden Years Community Connecting Service                               | 54,792       | 78,457       | 80,872       | 214,121    |
| Drake Music Scotland                                | Musicspace  | 18,000       | 18,000       | 18,000       | 54,000     |

| Organisation   | Project   | 2019/20<br>£ | 2020/21<br>£ | 2020/22<br>£ | Total<br>£ |
|--|---|--------------|--------------|--------------|------------|
| Edinburgh & Lothians Greenspace Trust                              | Healthy Lifestyles in South Edinburgh   | 104,559      | 112,157      | 120,425      | 337,141    |
| Edinburgh Community Food   | Healthier Food, Healthier Lives, Healthier Futures  | 161,528      | 166,138      | 173,059      | 500,725    |
| Edinburgh Community Health Forum                                   | Tackling health inequalities by building a stronger and more resilient 3 <sup>rd</sup> sector | 49,438       | 52,296       | 53,680       | 155,414    |
| Edinburgh Garden Partners  | Befriending Through Gardening   | 22,970       | 23,170       | 23,270       | 69,410     |
| Edinburgh Headway Group  | Early Intervention ABI Rehabilitation Support Project   | 44,024       | 45,073       | 46,142       | 135,239    |
| Edinburgh Leisure  | Steady Steps  | 117,007      | 119,253      | 140,122      | 376,382    |
| Edinburgh Rape Crisis Centre                                       | Rape Crisis Support Service   | 73,512       | 73,565       | 74,226       | 221,303    |
| Eric Liddell Centre  | Caring for Carers   | 24,456       | 25,190       | 25,945       | 75,591     |
| FAIR Ltd. (Family Advice and Information Resource)                 | FAIR- information and advice for people with learning disabilities and their carers.          | 89,257       | 91,795       | 94,914       | 275,966    |
| Feniks: Counselling, Personal Development and Support Services Ltd | Reach Out, Help Within". Supporting Central Eastern European community in Edinburgh           | 68,221       | 74,773       | 78,600       | 221,594    |
| Fresh Start  | Fresh Start: helping people make a home for themselves  | 85,430       | 87,525       | 88,179       | 261,134    |
| Gorgie City Farm   | Valued Volunteering   | 30,520       | 31,130       | 31,753       | 93,403     |
| Gowrie Care Ltd  | Futures Hub   | 59,199       | 59,530       | 94,487       | 213,216    |
| Harlaw Monday Group  | Harlaw Monday Group Day Care Centre   | 6,616        | 6,704        | 7,214        | 20,534     |
| Health All Round   | Health All Round Community Health Initiative  | 190,367      | 195,169      | 200,158      | 585,694    |
| Health in Mind   | Craigmillar Counselling   | 13,000       | 13,000       | 13,000       | 39,000     |



| Organisation                                    | Project   | 2019/20<br>£ | 2020/21<br>£ | 2020/22<br>£ | Total<br>£ |
|---|---|--------------|--------------|--------------|------------|
| Home-Start Edinburgh West and South West (HSEW) | Promoting positive perinatal mental health                                    | 24,910       | 24,910       | 24,910       | 74,730     |
| LGBT Health and Wellbeing                       | Core Funding and Community Programme  | 98,500       | 98,500       | 98,500       | 295,500    |
| Libertus Services                               | Postives Futures The Volunteering Project                                     | 121,806      | 123,019      | 124,242      | 369,066    |
| Link Up Women's Support Centre                  | Link Up Out of Hours & Counselling Services                                   | 44,576       | 45,321       | 45,321       | 135,218    |
| Lothian Centre for Inclusive Living (LCIL)      | Grapevine Welfare Matters Project   | 23,151       | 19,872       | 20,269       | 63,291     |
| MECOPP  | Jump Start  | 31,446       | 31,446       | 31,446       | 94,338     |
| MECOPP  | BME Carer Spport  | 64,794       | 64,794       | 64,794       | 194,382    |
| Multi-Cultural Family Base                      | Syrian Men's Mental Health Group  | 16,352       | 16,568       | 16,788       | 49,708     |
| Murrayfield Dementia Project                    | The MDP Club  | 54,815       | 54,815       | 54,815       | 164,445    |
| Om Music Sanctuary                              | Om Music Sanctuary  | 11,226       | 9,000        | 9,000        | 29,226     |
| Pilmey Development Project                      | Older Peoples Services  | 71,029       | 72,450       | 73,898       | 217,377    |
| Pilton Equalities Project                       | Mental Health   | 86,076       | 87,859       | 89,674       | 263,609    |
| Pilton Equalities Project                       | Day Care Services   | 84,100       | 85,869       | 87,670       | 257,639    |
| Portobello Monday Centre                        | Continuation of Portobello Monday Centre                                      | 4,188        | 4,320        | 4,453        | 12,961     |
| Portobello Older People's Project               | Portobell Older People's Project  | 15,074       | 15,417       | 15,769       | 46,260     |
| Positive Help                                   | Supportive Transport and Home Support Service for adults with HIV/Hepatitis C | 47,665       | 48,410       | 49,166       | 145,240    |

| Organisation  | Project  | 2019/20<br>£ | 2020/21<br>£ | 2020/22<br>£ | Total<br>£ |
|---|--|--------------|--------------|--------------|------------|
| Queensferry Churches Care in the Community                  | The Haven  | 40,429       | 41,429       | 44,308       | 126,166    |
| Rowan Alba Limited  | Community Alcohol Related Damage Service (CARDS) | 48,951       | 49,519       | 50,423       | 148,893    |
| Scottish Huntington's Association                           | Lothian Huntington's Disease service             | 32,452       | 33,046       | 33,610       | 99,108     |
| Sikh Sanjog   | Health and Wellbeing Group                       | 24,392       | 24,392       | 24,392       | 73,176     |
| South Edinburgh Amenties Group SEAG                         | Provision of Community Transport                 | 70,902       | 70,902       | 70,902       | 212,706    |
| South Edinburgh Day Centre Volunteer Forum                  | SEDCVF   | 25,000       | 25,000       | 25,000       | 75,000     |
| Support in Mind Scotland                                    | RAISE for Carers                                 | 22,630       | 23,309       | 24,008       | 69,947     |
| The Broomhouse Centre                                       | The Beacon Club                                  | 51,681       | 53,734       | 54,808       | 160,223    |
| The Broomhouse Centre on behalf of Vintage Vibes Consortuim | Vintage Vibes                                    | 41,603       | 67,740       | 77,271       | 186,614    |
| The Broomhouse Health Strategy Group                        | Supporting Healthier Lifestyles                  | 56,958       | 56,958       | 56,958       | 170,874    |
| The Dove Centre   | The Dove Centre Social Day Centre                | 126,118      | 129,846      | 133,788      | 389,752    |
| The Health Agency   | The Health Agency Community Health Initiative    | 175,188      | 179,393      | 183,698      | 538,279    |
| The Living Memory Association                               | The Little Shop of Memory                        | 24,665       | 24,665       | 24,665       | 73,995     |
| The Open Door   | Senior Men's Group                               | 6,341        | 6,470        | 6,026        | 18,837     |
| The Ripple Project  | Restalrig Lochend Community Hub                  | 89,675       | 92,045       | 94,608       | 276,328    |
| The Welcoming Association                                   | Welcoming Health                                 | 15,461       | 15,169       | 15,339       | 45,969     |

| Organisation   | Project  | 2019/20<br>£     | 2020/21<br>£     | 2020/22<br>£     | Total<br>£        |
|--|--|------------------|------------------|------------------|-------------------|
| Venture Scotland   | Physical activity for Young People                               | 45,002           | 47,252           | 49,615           | 141,869           |
| VOCAL  | Edinburgh Carer Counselling                                      | 49,497           | 51,075           | 52,607           | 153,179           |
| Waverley Care  | Positive living for people affected by a Blood Borne Virus (BBV) | 191,753          | 191,753          | 191,753          | 575,259           |
| <b>Sub total</b>   |  | <b>3,768,251</b> | <b>3,898,255</b> | <b>4,042,150</b> | <b>11,708,655</b> |
| CHAI, Citizens Advice Edinburgh, Granton Information Centre, NHS Lothian | Income Maximisation - Welfare and Debt Advice                    | 828,467          | 844,609          | 863,597          | 2,536,673         |
| <b>Total</b>   |  | <b>4,596,718</b> | <b>4,742,864</b> | <b>4,905,747</b> | <b>14,245,328</b> |

**2019 Edinburgh IJB grant programme  
Integrated Impact Assessment**

Ann Duff – CEC Communications

Claire Ironside - EAHP

Ella Simpson – EVOC/SPG member

Emma Gunter – EHSCP Contracts

*[Graeme Henderson – Penumbra/CCPS/SPG member]*

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**2019 Edinburgh IJB grant programme  
Integrated Impact Assessment**

# Edinburgh Integration Joint Board Grant Review

## Draft Integrated Impact Assessment

Each of the numbered sections below must be completed

|                |   |              |  |
|----------------|---|--------------|--|
| Interim report | ✓ | Final report |  |
|----------------|---|--------------|--|

(Tick as appropriate)

**1. Title of plan, policy or strategy being assessed**

Edinburgh IJB Grant Review – funding recommendations

**2. What will change as a result of this proposal?**

**Existing Programme**

In the current year of funding, 2018/19, grants were issued through 2 main programmes:

- the Health and Social Care main grant programme (£1,880,186) which supports services to specific service user groups, i.e. older people (£787,322), carers (£273,569), people with disabilities (£133,815), mental health issues (£70,218), and/or addictions (£97,073) and people with blood borne viruses (£252,843).
- the Health Inequalities Grant Programme (£1,754,573) which supports services delivering activities against four strategic objectives i.e.: enabling all adults to maximise their capabilities and have control over their lives; creating and developing healthy and sustainable places and communities; strengthening the role and impact of ill-health prevention and ensuring a healthy standard of living for all

In addition, four grants for specific purposes (£755,963) are funded through a combination of Social Justice Fund/Integrated Care Fund and Social Care Fund namely:

- Health inequalities communication
- Get up and Go
- LOOPS Hospital Discharge Project (£313,240)
- Third sector prevention investment fund (£414,450)

## **New Programme**

The new programme focuses on **tackling inequalities and prevention and early intervention**, 2 of the 6 main priorities of the current and draft IJB Strategic Plan 2019–2022. These are further broken down to 7 priorities i.e.:

1. Reducing social isolation
2. Promoting healthy lifestyles
3. Improving mental wellbeing
4. Supported self-management
5. Information and advice
6. Reducing digital exclusion
7. Building strong, inclusive, and resilient communities

Within the overall programme there is a small grant fund for grants worth less than £25,000 and an innovation fund (circa £100,000 per annum).

The recommendations of the new programme come to a value of £4,596,718 in 2019/20 (total of £14,245,328 over 3 years).

Applications were open to any constituted and not-for-profit organisation. Grants are for up to 3 years funding. A new application form and assessment process were developed and guidance and training was available.

It has not been possible to determine financial amounts allocated against each priority as organisations take a comprehensive approach to achieving outcomes and can help meet more than one priority. Figures contained within this report should be considered as indicative only as direct comparison between current grant programme and new programme is not always possible.

An inevitable consequence of any grant review is that not all existing grant recipients will be successful in their funding bids. Further, the new programme was significantly oversubscribed.

For 2019–2022, a total of 66 grant awards are recommended (47 large grants and 19 small grants) with 16 being new awards (9 large and 7 small). 35 organisations which currently get grants were unsuccessful in their applications.

### **3. Briefly describe public involvement in this proposal to date and planned**

In the interests of good partnership working and to make best use of the knowledge, experience and creativity of the third sector, it was agreed that the development of the whole grant strategy and process for implementation, should be carried out in collaboration with the third sector. A stakeholder working group was set up at the outset of the process and was made up of representatives from CEC Communications, Contracts, Procurement; EAHP; EVOC; Penumbra/ CCPS;

EHSCP Locality Manager NW; Chief Finance Officer IJB, Strategic Planning; NHS Health Promotion and the Edinburgh Community Health Forum;

Two sets of engagement sessions, open to all potential funding organisations, were held (April 2018 and June 2018). Attendance at these was good with the total number of attendances being 148.

Feedback from these was used to guide and inform the development of the new programme. (see links to reports from those events in evidence table below).

Further briefing sessions were held in August to outline the grant process and timescales, and again, attendance was good.

Training sessions on completion of application forms were also provided and these were targeted at those more inexperienced in completing grant application forms.

#### **4. Date of IIA**

Monday 26<sup>th</sup> November 2018

#### **5. Who was present at the IIA? Identify facilitator, lead officer, report writer and any partnership representative present and main stakeholder (e.g. NHS, Council)**

| <b>Name</b>                | <b>Job Title</b>   |
|----------------------------|--|
| Suzanne Lowden             | Strategic Planning and Commissioning Officer, Edinburgh Health and Social Care Partnership |
| Stephanie-Ann Harris       | Strategic Development Manager, Edinburgh Community Health Forum                            |
| Liz Simpson (facilitator)  | Senior Health Promotion Specialist, NHS Lothian Health Promotion Service                   |
| Sarah Bryson (facilitator) | Strategic Planning and Commissioning Officer, Edinburgh Health and Social Care Partnership |



## 6. Evidence available at the time of the IIA

| Evidence   | Available?                       | Comments: what does the evidence tell you?  |
|--|----------------------------------|---|
| <p><b>Data on populations in need:</b></p> <p>Joint Strategic Needs assessment (JSNA) and Topic Papers:</p>  | <p>Yes</p>                       | <p>The JSNA and topic papers provide a comprehensive local picture of health and wellbeing needs in Edinburgh, using intelligence and analysis to determine:</p> <ul style="list-style-type: none"> <li>• Current and future needs</li> <li>• What's working, what's not, and what could work better?</li> <li>• What are the major health inequalities and what can be done about them?</li> <li>• Unmet needs, including those of seldom-heard populations and vulnerable groups</li> </ul> <p>See papers for detailed information re populations</p>   |
| <p><b>Data on service uptake/access:</b></p> <p>Funding applications from this round and funding applications from current funding.</p> <p>Grants Review Interim Report Edinburgh Integration Joint Board – 18 May 2018<br/> <a href="#">Item 5.7 - Grants Review Interim Report – Reports, 866.91 KB</a></p> <p>IJB Performance Report<br/> <a href="#">Annual Performance Report 2016_17</a></p> | <p>Yes</p> <p>Yes</p> <p>Yes</p> | <p>Provides an indication of the current numbers of service users for existing services provided through the grant programme</p> <p>The Grants Review Interim report provides:</p> <ul style="list-style-type: none"> <li>• <b>Analysis of current grant use</b></li> <li>• <b>Identification of priorities for future funding</b></li> <li>• <b>Operation of future grant programmes</b></li> <li>• <b>Engagement with stakeholders</b></li> </ul> <p>The IJB Performance report provides a review of the progress made during the first year of operation of the Edinburgh Integration Joint Board and the Edinburgh Health and Social Care Partnership</p> |

| Evidence   | Available?   | Comments: what does the evidence tell you?   |
|--|--|--|
| Health Inequalities Evaluation Report 2016/17 <a href="#">evaluation report of the Grants Programme for 2016/17</a>  |  | The report provides an overview and evaluation of the Health Inequalities grant programme.   |
| Data on equality outcomes  | no   |  |
| <b>Research/literature evidence:</b><br><br><a href="#">The locality improvement plans, published by the City of Edinburgh Council in December 2017</a><br><br><a href="#">The Outline Strategic Commissioning Plans (OSCPs), agreed by the IJB in January and February 2018</a> | <p>Yes</p> <p>Yes</p>  | <p>Provides some clarity regarding the priorities of local communities for services under the remit of the IJB, but for CEC-provided and managed services generally</p> <p>Provides a clearer, more detailed starting point for this commissioning and influencing. These OSCP are useful reference points for the shaping of the grants programme going forward, and indeed the establishment of the reference boards to drive the next evolution of these plans, into full Strategic Commissioning Plans (SCPs) by December 2018, will provide the next level of detail and in turn will form the basis for an estimated 75-80% of the revised Strategic Plan.</p> |
| <b>Public/patient/client experience information:</b><br><br>Results from Health Inequalities Standard Impact Assessment Questions<br><br>The IJB annual Performance Report also provides information on patient experience   | <p>Yes (contained within Evaluation Report – see above)</p> <p>Yes</p> | <p>The results show that the impact on service users was considerable with an average of 83% of service users surveyed agreeing or strongly agreeing that the service they used had brought about the intended positive impact. Further detail contained within the report.</p>  |

| <b>Evidence</b>  | <b>Available?</b>  | <b>Comments: what does the evidence tell you?</b>  |
|--|--|--|
| <p><b>Evidence of inclusive engagement of service users and involvement findings</b></p> <p>Engagement events held on 26 April 2018. A Survey monkey was also carried out. The results are contained within the Grants Review Interim Report Edinburgh Integration Joint Board – 18 May 2018<br/> <a href="#">Item 5.7 - Grants Review Interim Report – Reports, 866.91 KB</a></p> <p>Further engagement session held on June</p> <p>Briefing sessions held for applicants in September 2018 outlining process and timescales</p> <p>Training sessions for applicants held in September 2018</p> | <p>Yes<br/>Distribution and opportunity for feedback on draft reports</p> <p>Yes</p> <p>Yes</p> <p>Yes</p> | <p>See report: see feedback to Participants Report</p> <p>The findings from the engagement events are contained within the report and were used to further develop the proposals for the grant review.</p> |
| <p><b>Evidence of unmet need</b></p> <p>Some areas of unmet need exist and are evidenced in the various application forms. The ongoing development of the Strategic Commissioning Plans and the Locality Plans will give an indication of unmet needs</p>  | <p>No</p>  | <p>There has not been any collation of evidenced unmet need from the grant application forms.</p>  |
| <p><b>Good practice guidelines:</b><br/>CEC Grant Process Good Practice Guidelines</p>   | <p>Yes</p>   | <p>Best Practice for grant management<br/>Outlines good practice for grant processes</p>   |
| <p><b>Environmental data</b></p>   | <p>No</p>  |  |
| <p><b>Risk from cumulative impacts</b></p>   | <p>No</p>  |  |

| Evidence  | Available?                                  | Comments: what does the evidence tell you?   |
|---|---|--|
| <p><b>Other (please specify)</b></p> <p>Review of grant programmes – report to the EIJB September 2017</p> <p>Grants review, scope, methodology and timescales – report to the EIJB November 2017</p> <p>Grants Review Interim Report – report to the EIJB 18 May 2018</p> <p>Proposals for the Health and Social Care Grants Review Programme 2019 – report to the EIJB 10 August 2018</p> | <p>Yes</p> <p>Yes</p> <p>Yes</p> <p>Yes</p> | <p>Review of grant programmes – report to the EIJB September 2017<br/><a href="http://www.edinburgh.gov.uk/">http://www.edinburgh.gov.uk/</a></p> <p>Grants review, scope, methodology and timescales – report to the EIJB November 2017<br/><a href="http://www.edinburgh.gov.uk/">http://www.edinburgh.gov.uk/</a></p> |
| <p><b>Additional evidence required</b></p>  |   |  |

**7. In summary, what impacts were identified and which groups will they affect?**

| Equality, Health and Wellbeing and Human Rights Positive  | Affected populations |
|---|----------------------|
| <p>A move to 3-year funding will provide continuity for organisations and staff, help reduce staff turn-over and improve planning and services.</p>   | <p>All groups</p>    |
| <p>The review process has helped ensure that the successful grants are in alignment with the priorities of the new grant programme and will focus activities on tackling Inequalities and Prevention and Early Intervention</p>                   | <p>All groups</p>    |
| <p>The introduction of a quality threshold in the grant allocation process has helped ensure that the quality of service provided through the grants will be efficient and effective in delivering positive outcomes for all groups of people</p> | <p>All groups</p>    |

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| <p>60 organisations which currently receive grant funding have been successful in their funding applications (23 older people, 2 blood borne viruses, 4 carers, 2 disabilities, 1 addictions, 1 mental health and 27 Health inequalities) and will continue to provide valuable, wide ranging services which will help achieve positive health outcomes for all users and tackle inequality.</p> <p>A number of community health organisations which provide services to improve health outcomes, quality of life and reduce health inequalities in areas of deprivation, have received increased levels of funding, which will result in the provision of a range of additional services and benefits.</p> <p>The provision of welfare advice services will be co-produced across the city and provide the opportunity for a more efficient, city-wide, joined up service</p> <p>Sixteen new recipients are now recommended for funding (9 large and 7 small) including:</p> <ul style="list-style-type: none"> <li>• provision of service to improve health outcomes for disadvantaged youths who face multiple challenges. (Venture Scotland)</li> <li>• services to allow those with mental health problems participate in therapeutic art and music projects to achieve positive health and well-being outcomes. (Om Music Sanctuary and Art In Healthcare)</li> <li>• support to assist those with autism to seek diagnosis and provide post-diagnostic support. (Autism Initiatives)</li> <li>• provision of advocacy, information, advice and befriending services to Syrian men. (Multi-Cultural Family Base)</li> <li>• organisation to improve health outcomes for disabled people through music making activities to benefit; mental health and wellbeing; physical coordination and social inclusion. (Drake Music)</li> <li>• organisations working with people who have been homeless or vulnerable to becoming homeless to prevent social isolation and homelessness and improve life chances. (Gowrie Care)</li> </ul> | <p>All groups</p> <p>All groups/those vulnerable to poverty</p> <p>Those vulnerable to poverty</p> <p>Young adults</p> <p>Those with mental health problems</p> <p>Disabled people</p> <p>BME/men</p> <p>Disabled people</p> <p>Those facing poverty</p> |
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| <ul style="list-style-type: none"> <li>• organisation targeted at those with addictions and blood borne viruses to support them to live independently, positively engage with health and community services and improve wellbeing and quality of life (Rowan Alba Limited, Positive Help)</li> <li>• several organisations which take a preventative approach to improving health outcomes for older people and reduce loneliness and social isolation by connecting them with their community including Befriending Through Gardening, Senior Men’s Group, Golden Years Community Connecting Service and The Open Door</li> <li>• an organisation to provide opportunities for parents to gain confidence and resilience resulting in positive mental health for perinatal women. (Home Start)</li> <li>• building community capacity through a community kitchen to connect and engage with all people to reduce social isolation and gain confidence. (Bridgend Farmhouse)</li> <li>• community-based listening/counselling support for those who are bereaved to help improve their mental well-being (Cruse Bereavement)</li> </ul> | <p>Those with blood borne viruses or addictions</p> <p>Older people<br/>Older men</p> <p>Women</p> <p>All groups</p> <p>All groups</p> |
| <p><b>Negative</b></p> <p>A number of organisations which currently get grant funding were not successful in their application (numbers noted below). This however does not necessarily mean that the project will be unable to continue. Grant awards were made on the basis that the grant would end in March 2019. Organisations have known for a number of years that a review will be carried out and, have been encouraged over recent years, to become more financially sustainable and seek additional sources of funding. The negative impacts noted below, have assumed that the projects will <b>not</b> continue however this will not be the case for many.</p> <p>There are 35 organisations which currently get grants and were unsuccessful in their applications (approx. £1.87m). These cover a range of activities targeted at improving health outcomes for; older people (10 projects); carers (6); those with disabilities (2); addictions (1) and mental health problems (1) reducing health inequalities (13) and projects funded through the Health</p>   | <p>All Groups</p>  |

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| <p>Improvement Fund (2).</p> <p>In particular, there is a reduction in the number of projects and amount of funding which is dedicated to providing older people's services. Of the 33 projects which currently provide services only for older people, 23 will continue to get grant funding (previously funded at approx. £1.6m) and 10 will not (£0.8m). 4 new projects directed at older people will be funded. (£125,344) (figures should be taken as indicative only as direct comparison between current grant programme and new programme is not possible)</p> <p>This may result in a reduced number of day care services, lunch clubs, support to connect older people to community services and support for older people from minority and ethnic groups (3 projects which also provide advice)</p> <p>It should be noted that in addition to the 27 successful organisations targeted at older people, many of the other successful organisations will provide universal services which will be of considerable benefit to older people and provide specific activities for older people.</p> <p>One organisation which provides welfare advice for those with disabilities will not be funded.</p> <p>Six applications submitted in relation to carers, which currently receive funding, were unsuccessful in their applications and 4 current and 1 new project were successful. This may create a gap in service provision and result in poorer health outcomes for carers.</p> <p>There is a reduction in drug awareness and education provision, however this service is mainly directed at schoolchildren and so the majority of those benefitting from the service are outwith the scope of the IJB.</p> <p>Funding for neighbourhood/community centres in the north-west Edinburgh, which provide a wide range of locally based services and help enhance health and well-being, will no longer be provided. A project to support community capacity and health activities in the north-east will also no longer receive funding.</p> <p>The core funding application for the community health organisation in the north west of the city was unsuccessful in its application which may result in a</p> | <p>Older people</p> <p>Older People</p> <p>Older people/ minority ethnic people</p> <p>Those with disabilities</p> <p>Carers</p> <p>Vulnerable young adults</p> <p>Those in/vulnerable to falling into poverty</p> <p>Those vulnerable to falling into poverty</p> |
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| <p>significant loss of health and well-being services in this locality</p> <p>A current service aimed at alleviating deprivation and isolation, providing information and advice and to promoting positive health and well-being to minority ethnic people living in Edinburgh was unsuccessful in their funding applications.</p> <p>A current project which supports women with mental health issues, or showing signs of dementia, from black and minority ethnic was unsuccessful</p> <p>Projects which deliver a range of creative activities to engage, inspire and improve mental health and well-being of people living in areas of deprivation, were unsuccessful however alternative art and music projects have been successful.</p> <p>Postnatal depression counselling service in parts of the south of the city was unsuccessful in its application which may result in the loss of counselling services and poorer mental health outcomes for vulnerable women</p> <p>Timebank project in North Edinburgh which helps build social capital and community networks was unsuccessful in its application.</p> <p>An organisation to work with people with epilepsy and affected by epilepsy in Edinburgh is no longer funded through this programme however the project is part of a National organisation.</p> <p>An organisation which works with the BSL community to prevent poor health and wellbeing outcomes will no longer be funded.</p> | <p>Minority Ethnic people</p> <p>Minority Ethnic/women</p> <p>Those vulnerable to falling into poverty/those with mental health issues</p> <p>Women</p> <p>Those vulnerable to falling into poverty</p> <p>Disabled people</p> <p>BSL users</p> |
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| <p><b>Environment and Sustainability</b></p> <p><b>Positive</b></p> <p>The priorities of the grant programme promote healthy lifestyles and strong, inclusive and resilient communities and the successful funding applicants will work to achieve this.</p> <p>Funding has been awarded to a befriending through</p> | <p><b>Affected populations</b></p> <p>All groups but especially those who are socially disadvantaged</p> <p>Older people</p> |
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| <p>gardening project which will encourage attractive, green space.</p> <p>Funding has been awarded to Changeworks, Heat Heroes which will help improve energy efficiency and reduce greenhouse gas emissions</p> <p>The community transport project will continue to be funded which will help reduce carbon emissions.</p> <p>Various new and continuing local projects which use volunteers and help build community cohesion and social sustainability, will continue.</p> <p><b>Negative</b></p> <p>A number of greening projects will no longer be funded, including the community garden project.</p> <p>Various current local projects which use volunteers and help build community cohesion and social sustainability, will continue.</p> | <p>Those in poverty/all groups</p> <p>Older people</p> <p>All groups</p> <p>All groups</p> <p>Those in poverty</p> |
|--|--|

|  |   |
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| <p><b>Economic</b></p> <p><b>Positive</b></p> <p>The move to 3-year funding should improve the stability of employees' jobs. It will also help provide continuity of service delivery.</p> <p>The reduction of health inequalities continues to be a priority.</p> <p>The provision of welfare advice services will be co-produced across the city and provide the opportunity for a more efficient, city-wide, joined up service</p> <p>Freshstart and Venture Scotland projects will help young people move into positive destinations.</p> <p>The introduction of a quality threshold during the assessment process helped ensure that the quality of services will be improved.</p> <p>Funding to 16 new organisations may create new jobs and volunteer opportunities.</p> <p><b>Negative</b></p> <p>35 organisations which are currently funded will no longer be funded. Some of these organisations will continue to</p> | <p><b>Affected populations</b></p> <p>All groups</p> <p>Those in poverty/all groups</p> <p>Those in poverty/disable people</p> <p>Vulnerable young people</p> <p>All groups</p> <p>All groups</p> <p>Staff/volunteers</p> |
|--|---|

|   |                   |
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| <p>operate, however, it may lead to the closure of some of the organisations and subsequent loss of jobs and volunteering opportunities.</p> <p>A significant number of service users may be adversely affected by these decisions.</p> | <p>All groups</p> |
|---|-------------------|

**8. Is any part of this policy/ service to be carried out wholly or partly by contractors and how will equality, human rights including children’s rights , environmental and sustainability issues be addressed?**

No, service provision to be provided through grants

**9. Consider how you will communicate information about this policy/ service change to children and young people and those affected by sensory impairment, speech impairment, low level literacy or numeracy, learning difficulties or English as a second language? Please provide a summary of the communications plan.**

A communication plan is to be prepared.

**10. Does the policy concern agriculture, forestry, fisheries, energy, industry, transport, waste management, water management, telecommunications, tourism, town and country planning or land use? If yes, an SEA should be completed, and the impacts identified in the IIA should be included in this.**

No

**11. Additional Information and Evidence Required**

**If further evidence is required, please note how it will be gathered. If appropriate, mark this report as interim and submit updated final report once further evidence has been gathered.**

N/A

## **12. Recommendations (these should be drawn from 6 – 11 above)**

Although a number of current organisations were unsuccessful in their funding applications, it does not necessarily mean that the organisation will close nor that the service will end. Grant holders have known that their current grant award is to end in March 2019 and that a grant review would be carried out. Over recent years, organisations have been encouraged to be more financially sustainable and seek alternative sources of funding and many have been extremely successful in doing so. It should be emphasised that within Section 7, negative impacts have been noted however many of the projects will indeed continue to operate without funding through this programme and the negative impacts will not materialise.

The possible negative impacts in relation to older people services, noted in Section 7 above had been recognised during the interim IIA and so the moderation group were mindful of this during the moderation process. Where possible, older people services projects, which fell above the quality threshold, were allocated funding when possible, particularly those ones that would leave a gap in service provision for example, the Queensferry Church project.

An inevitable consequence of any grant review is that not all existing grant recipients will be successful in their funding applications, particularly when a budget saving is attached. It will therefore not be able to mitigate against all the identified differential outcomes on groups of people with protected characteristics. Consideration however should be given to the following in respect of the negative outcomes in relation to older people.

- The MATT Group, who meet daily to discuss the discharge of patients, should be encouraged to utilise on-line information and help steer patients to participate in appropriate community services on release from hospital.
- Consideration should be given to the implications which ending funding to unregistered day services may have on the registered services. This, and any gaps in provision of service, should be considered as part of the Older People's Day Services Review.

A number of carer services were unsuccessful in their application and any gaps in carer provision should be considered as part of the development of the Carers' Strategy

Any gaps in service provision which may arise, should be considered as part of the development of the Strategic Commissioning Plans.

There may be some loss of service provision which is aimed at alleviating deprivation and isolation for specific minority ethnic groups living in Edinburgh. The current service users of these organisations should be encouraged to participate in services provided by other providers and encourage cultural bridging.

Unsuccessful applicants should be directed to information sites containing information regarding alternative funding sources and advice.

Information/training sessions will be developed and support provided.

**13. Specific to this IIA only, what actions have been, or will be, undertaken and by when? Please complete:**

| <b>Specific actions (as a result of the IIA which may include financial implications, mitigating actions and risks of cumulative impacts)</b>               | <b>Who will take them forward (name and contact details)</b> | <b>Deadline for progressing</b> | <b>Review date</b> |
|---|--|---------------------------------|--------------------|
| Discussions should take place with the MATTs, as indicated above  | Grant Review Steering Group<br>Moira Pringle                 | March 2019                      |                    |
| Discussions should take place with those carrying out the older people day services review, as indicated above  | Grant Review Steering Group<br>Moira Pringle                 | March 2019                      |                    |
| Discussion should take place with those developing the Carer Strategy to try and ensure any gaps in carer provision is addressed through the Carer Strategy | Grant Review Steering Group<br>Moira Pringle                 | March 2019                      |                    |
| Any gaps in service provision which may arise, should be considered as part of the development of the Strategic Commissioning Plans.                        | Grant Review Steering Group<br>Moira Pringle                 |                                 |                    |
| Unsuccessful applicants should be directed to web-sites containing information regarding alternative funding sources and advice.                            | Grant Review Steering Group<br>Moira Pringle                 | December 2018                   |                    |
| Information/training sessions will be developed and consultancy support provided for grant applicants.  | Grant Review Steering Group<br>EVOC                          | March 2019                      |                    |

**14. How will you monitor how this policy, plan or strategy affects different groups, including people with protected characteristics?**

A grants evaluation process is to be set up and this will be considered as part of this process.

**15. Sign off by Head of Service/ Project Lead**

**Name**

**Date**

**16. Publication**

Send completed IIA for publication on the relevant website for your organisation. [See Section 5](#) for contacts.

## Section 5 Contacts

- **East Lothian Council**

Please send a completed copy of the IIA to [equalities@eastlothian.gov.uk](mailto:equalities@eastlothian.gov.uk) and it will be published on the Council website shortly afterwards. Copies of previous assessments are available via [http://www.eastlothian.gov.uk/info/751/equality\\_diversity\\_and\\_citizenship/835/equality\\_and\\_diversity](http://www.eastlothian.gov.uk/info/751/equality_diversity_and_citizenship/835/equality_and_diversity)

- **Midlothian Council**

Please send a completed copy of the IIA to [zoe.graham@midlothian.gov.uk](mailto:zoe.graham@midlothian.gov.uk) and it will be published on the Council website shortly afterwards. Copies of previous assessments are available via [http://www.midlothian.gov.uk/downloads/751/equality\\_and\\_diversity](http://www.midlothian.gov.uk/downloads/751/equality_and_diversity)

- **NHS Lothian**

Completed IIAs should be forwarded to [impactassessments@nhslothian.scot.nhs.uk](mailto:impactassessments@nhslothian.scot.nhs.uk) to be published on the NHS Lothian website and available for auditing purposes. Copies of previous impact assessments are available on the NHS Lothian website under Equality and Diversity.

- **The City of Edinburgh Council**

Completed impact assessments should be forwarded to [Strategyandbusinessplanning@edinburgh.gov.uk](mailto:Strategyandbusinessplanning@edinburgh.gov.uk) to be published on the Council website.

- **City of Edinburgh Health and Social Care**

Completed and signed IIAs should be sent to Sarah Bryson at [sarah.bryson@edinburgh.gov.uk](mailto:sarah.bryson@edinburgh.gov.uk)

- **Edinburgh Integration Joint Board**

Completed and signed IIAs should be sent to Sarah Bryson at [sarah.bryson@edinburgh.gov.uk](mailto:sarah.bryson@edinburgh.gov.uk)

- **West Lothian Council**

Complete impact assessments should be forwarded to the Equalities Officer.